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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Zhijian J. Chen Li Deng

Serial No.: 10/076,918

Filed: October 11, 2001

For: TRAF6-REGULATED IKK

ACTIVATORS (TRIKA1 AND TRIKA2)

AND THEIR USE AS ANTI-INFLAMMATORY TARGETS Group Art Unit: 1641

Examiner: Unknown

Atty. Dkt. No.: UTSD:857US/SLH

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Claes Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below:

May 13, 2002

Date

even Highlander

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 C.F.R. 1.53(b)

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated April 10, 2002, there are enclosed herewith:

- (a) Declaration executed on behalf of Zhijian J. Chen and Li Deng;
- (b) A Power of Attorney on behalf of Board of Regents, The University of Texas System;
- (c) Statement as Required Under 37 C.F.R. § 1.825(a) and (b) and Statement as Required Under 37 C.F.R. § 1.821(g);

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(d) Substitute Computer Readable Form;

(e) Substitute Paper Copy of Sequence Listing;

(f) Our check in the amount of \$933.00 to cover the basic filing fee

(\$370.00 - utility small entity); surcharge for late filing (\$65.00); additional claims

fee (\$498.00); and

(g) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under

37 C.F.R. 1.53(b)-Filing Date Granted.

An Assignment to Board of Regents, The University of Texas System and a check for

\$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R.

§§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an

overpayment be included herein, the Commissioner is authorized to deduct or credit said fees

from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10107423/SLH.

Please date stamp and return the accompanying postcard to evidence receipt of these

documents.

Respectfully submitted,

Steven Highlander

Reg. No. 37,642

Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

May 13, 2002



ULBRIGHT & JAWORSKI L.L.P.

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Commissioner for Patents Washington, DC 20231

RE:

U.S. Patent Application No. 10/076,918 entitled "TRAF6-REGULATED IKK ACTIVATORS (TRIKA1 AND TRIKA2) AND THEIR USE AS ANTI-INFLAMMATORY TARGETS" – Zhijian J. Chen and Li Deng

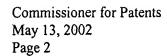
Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed April 10, 2002;
- (2) Declaration;
- (3) Power of Attorney;
- (4) Statement as Required Under 37 C.F.R. § 1.825(a) and (b) and Statement as Required Under 37 C.F.R. § 1.821(g);
- (5) Substitute Computer Readable Form;
- (6) Substitute Paper Copy of Sequence Listing;
- (7) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R.

 1.53(c)-Filing Date Granted;

25157319.1



- (8) Check in the amount of \$933.00; and
- (9) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10107423/SLH.

Respectfully submitted,

Steven/L/Highlander Reg. No. 37,642

SLH/mar

Encl: as noted



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APPLICATION NUMBER FILING/RECEIPT DATE FIRE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/076,918

10/11/2001

Zhijian J. Chen

UTSD:857US

CONFIRMATION NO. 8460
RECEIVED FORMALITIES LETTER

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FULBRIGHT & JAWORSKI L.L. A REGISTERED LIMITED LIAB SUITE 2400 600 CONGRESS AVENUE

AUSTIN, TX 78701

Pate(s) Docketed:

BHTT POPULIFICATION OF THE 10/02 August 16 2002 deadline

Client: UTSD: 857US
Attorney(s): SH

Date Mailed: 04/10/2002

Initials:

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/20/2002 BABRAHA1 00000039 10076918

01 FC:201 02 FC:205 03 FC:203 04 FC:202 370.00 OP 65.00 OP 414.00 OP 84.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$498.
 - \$414 for 46 total claims over 20.
 - \$84 for 2 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 933.

This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the

X



sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

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A copy of this notice MUST be returned with the reply.

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